

EU/RE/POL/01A

EGERTON



UNIVERSITY

Egerton University Research Policy

Transforming Lives through Quality Education

2021

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EGERTON UNIVERSITY

Egerton University Research Policy

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Revised 2021

PREAMBLE

Egerton University strives to be a world class university that transforms lives through quality education, research and consultancy as per its mandate stipulated in the University Act No.42 of 2012. Research, consultancy and extension is the Strategic Goal Two of the university and is attached 25% weighting to reflect the contribution to the university's core mandate and functions. The University strategic objectives for research are to:

SO6: Increase research outputs and disseminate findings.

SO7: Increase registrable innovations.

SO8: Engage in public policy analysis.

SO9: Engage in research, consultancy and community outreach.

SO10: Undertake monitoring and evaluation of research.

SO11: Improve university and community environmental management capacities.

The University supports research and extension by availing funds for competitive University-funded research and by soliciting donor funds to supplement its contribution. The research is carried out using University facilities and those of collaborating partners. The University encourages collaborative research and development through partnerships with national, regional and international institutions and organizations. The emphasis is on demand-driven, policy and market-oriented research that culminates in the development of new products, technologies and improved services. This Policy provides the framework for improving efficiency and effectiveness of conducting research and should be read in tandem with the Universities Act No. 42 of 2012, and the Egerton University Statutes (2021) and the Science, Technology and Innovation Act No.28 of 2013.

The Guiding Principles

Vision

To be a world class University for the advancement of humanity.

Mission

To generate knowledge and offer exemplary education and training to society for national and global development.

Philosophy

Egerton University innovatively influences human development through generation, acquisition, preservation and dissemination of knowledge and skills in Agriculture and related disciplines, guided by the core values.

Core Values

National unity and social fairness.

Integrity, transparency and accountability

Professionalism

Internationalism

Passion for excellence and devotion to duty

Teamwork

Passion for environmental conservation

Innovativeness and creativity

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CLAUSE 1: Definition of Terms

In this policy, unless the context otherwise requires, the following terms will have the meanings defined herein.

Administrative cost /overhead cost: Expenses incurred that are not directly tied to a specific objective e.g. costs associated with general services; basic costs of taxes, rent, insurance, licensing fees, utilities, accounting and legal teams, administrative staff, facility upkeep, etc.

Secondment: The temporary assignment of a staff member from their primary job to a different department/ office/ role within the organization.

Service Provider: An individual or entity that provides services to another party.

CLAUSE 2: Scope of the Policy

The Policy applies to all Egerton University research activities, researchers and their collaborators. It contains rules, principles and regulations that University researchers and their collaborators should observe while conducting research in order to maintain the integrity and dignity of the University and meet international standards.

CLAUSE 3: Research Policy Objectives

The research policy seeks to:

- 2.1 Set research as a tool for achieving the Sustainable Development Goals and Kenya's Vision 2030.
- 2.2 Create a conducive environment for producing quality research proposals, projects and outputs.
- 2.3 Create a framework for benefit sharing in research projects.
- 2.4 Guide researchers on ethical principles and practices.
- 2.5 Enhance transparency and accountability in conducting research.
- 2.6 Create a framework for projects monitoring and evaluation (M&E).

CLAUSE 4: Research Priority Areas

- 4.1 Implementation of strategic goal 2 and the six strategic objectives is integrated with the research thematic priorities aligned with the international development blue prints; United Nations Sustainable Development Goals (SDGs) and the African Union Agenda 2063. At the National level the Plan builds on the foundations of Constitution of Kenya 2010, Vision 2030, Universities Act No. 42 of 2012 (Amendment No. 48 of 2016), Egerton University Charter (2013) and Egerton University Statutes (2021). The Plan has factored “*The Big 4 Agenda*” on Food security, manufacturing, affordable healthcare and affordable housing as prioritized by the Kenya Government (2017-2022).
- 4.2 The Research Thematic Areas for the University research activities are identified:
- 4.2.1 Food Security and Natural Resources
 - 4.2.2 Manufacturing and Agro-Processing
 - 4.2.3 Health and Sanitation
 - 4.2.4 Housing and Infrastructure
 - 4.2.5 Education and Reforms
 - 4.2.6 Governance and Security
 - 4.2.7 Science, Technology & Innovations
 - 4.2.8 Social Development
 - 4.2.9 Business and Entrepreneurship
 - 4.2.10 Indigenous Knowledge, inclusive of
 - Indigenous Food Resources,
 - Indigenous Medicinal Plants,
 - Cultural Values, and
 - Communal Grazing Governance
- 4.3 In consultation with researchers and other stakeholders, the Division of Research and Extension shall, from time to time, review research thematic areas, based on current and emerging issues.
- 4.4 Based on the identified research thematic areas, the University shall review research strategy in order to realign with national and global goals and emerging needs and priorities.

CLAUSE 5: Management of Research

Research in the University is managed by the Division of Research and Extension (R & E) headed by the Deputy Vice-Chancellor (R & E) assisted by the Director (R & E). Its functions include co-ordination and facilitation of: Research, innovations, extension, consultancy services, vocational training, publications, production of extension materials, information management and dissemination of information through seminars, workshops, conferences and symposia. The Division of Research and Extension secretariate is responsible for the day-to-day coordination of research related activities and implementation of research related policies, regulations and guidelines. The Division operates through the following committees:

5.1: Academic and Research Committee

This is a committee of Egerton University council whose terms of reference are: -

- 5.1.1 Advocate on behalf of and enhance marketing of the University locally and internationally.
- 5.1.2 Assist in mobilizing resources for the University.
- 5.1.3 Advice on development and implementation of university policies, academic and research programmes.
- 5.1.4 Make recommendations for sourcing, administering and distributing University scholarships.
- 5.1.5 Oversee a university reward scheme for excellence in teaching, research and extension.
- 5.1.6 Receive regular reports from, and advise, the Deputy Vice-Chancellor (Academic Affairs) and the Deputy Vice-Chancellor (R & E), on the development of academic and research policies and procedures.
- 5.1.7 Recommend Egerton University Institutes and Centers' policies, business plans and annual budget.
- 5.1.8 Identify research Institutes and Centers' risks and internal controls.
- 5.1.9 Ensure good management, corporate governance and leadership in the Institutes and Centers at all levels.
- 5.1.10 Strategize on how to develop the Institutes and Centers in a sustainable manner.
- 5.1.11 Consider and report any matter referred to it by the University Council and Senate.

5.2: University Research Committee

There shall be a University Research Committee whose Terms of Reference are to:

- 5.2.1 Develop and recommend to Senate the University Research Policy.
- 5.2.2 Review the Research Policy from time to time and make recommendations to Senate.
- 5.2.3 Establish and communicate the University research priorities.
- 5.2.4 Facilitate capacity building for researchers.
- 5.2.5 Receive, review and approve research proposals especially internally funded.
- 5.2.6 Recommend allocation of research funds to successful applicants.
- 5.2.7 Monitor and co-ordinate research projects periodically by reviewing progress, technical and financial reports from grants' beneficiaries.
- 5.2.8 Identify and recommend establishment of research facilities and laboratories to the University.
- 5.2.9 Identify innovations/inventions that have potential for patenting.

5.3: University Research Ethics Committee

There shall be an Egerton University Research Ethics Committee whose Terms of Reference are to:

- 5.3.1 Advise the University on all matters pertaining to the ethics of research.
- 5.3.2 Review and approve proposals, and monitor progress for research with ethical issues to be carried out in the University, or in area under its authority.
- 5.3.3 Be available for consultation on ethical issues by researchers and individuals or interested groups within the University community.
- 5.3.4 Undertake training on ethical matters related to the conduct of research with ethical issues within the University and its stakeholders.
- 5.3.5 Make reports to the DVC (R & E) and relevant government agencies as required which should be made available to the public if need be.
- 5.3.6 Set and review policies of the University in matters relating to Research ethical issues, in accordance with the policies and procedures established by the relevant government research agencies.

5.4: Institutional Animal Care and Use Committee (IACUC)

There shall be an Institutional Animal Care and Use Committee (IACUC) whose Terms of Reference are to:

- 5.4.1 Advise the University in matters pertaining to animal care and use.
- 5.4.2 Review and approve research and non-research animal use protocols regardless of the source of funding.
- 5.4.3 Review significant changes to previously approved animal protocols.
- 5.4.4 Review animal protocols every three years for continuing research.
- 5.4.5 Oversee University compliance with all applicable international, local and institutional policies, laws and regulations related to animal care and use.

CLAUSE 6: Categories of Funded Research Projects

Egerton University manages two types of funded research projects namely Internally and externally funded projects.

- 6.1 Internally funded projects receive money from the University through its budgetary allocation.
- 6.2 Externally funded projects that receive money from government, donors and development partners.

CLAUSE 7: Researchers' Rights and Responsibilities

Researchers' rights and responsibilities shall include:

- 7.1 A guarantee of their freedom of speech, intellect and association.
- 7.2 Researchers and their collaborators shall not be discriminated against or stigmatized on the basis of disability or medical grounds.
- 7.3 Based on the available resources, all researchers will have the rights and privileges to conduct research in their areas of competence.
- 7.4 All basic and applied research shall not be in conflict with human rights, dignity, health or ethical standards.
- 7.5 Egerton University researchers may receive research funds from any source provided that it does not lead to contravention of the laws of Kenya and other international laws.
- 7.6 Egerton University researchers may collaborate with local and international institutions and organizations for purposes of joint research.

- 7.7 All research Agreements shall be signed on the advice of the University Legal Officer.

CLAUSE 8: Research Ethics and Integrity

Researchers shall adhere to the following ethical and integrity guidelines:

- 8.1 Research shall be conducted in an open manner.
- 8.2 Researchers shall obtain ethical clearance from the Research Ethics Committee and or Animal Care and Use Committee as appropriate.
- 8.3 All researchers shall observe high ethical standards while conducting research.
- 8.4 Researchers shall take all reasonable steps to ensure that research property entrusted to their care is adequately protected and used for the intended purpose(s).
- 8.5 The Principal Investigator shall ensure that all subjects to be used in the project are informed of their rights and of any possible harm that may arise from their participation in the research.
- 8.6 All researchers and their collaborators shall respect all ethical responsibilities required of researchers.
- 8.7 Researchers shall ensure proper disposal of research waste in accordance with ~~bid-down~~ regulations.
- 8.8 Researchers shall uphold the five pillars of ethics which include accountability, honesty, rigor of research, transparency and care and respect of participants and experimental animals

CLAUSE 9: Professionalism in Research

To uphold professionalism in research, the researcher shall:

- 9.1 Conduct research in a manner that maintains their dignity, integrity and professionalism.
- 9.2 Treat research subjects, participants and collaborators with courtesy, civility, respect and confidentiality.
- 9.3 Respect the rights of others and shall refrain from disruptive, threatening, intimidating, harassing and harmful behavior to themselves, other persons or property.
- 9.4 Endeavour to improve the standards of research and professionalism.
- 9.5 Develop and maintain knowledge and understanding of their respective areas of expertise.

- 9.6 Disseminate research findings to all stakeholders using appropriate fora.
- 9.7 Report any extra research sub-awards to both the Deputy Vice-Chancellor (R & E) and the main funder of the project within 14 days after award.

CLAUSE 10: Intellectual Property

Ownership of all Intellectual Property arising from research activities shall be governed by the relevant clauses of the Egerton University Intellectual Property Rights Policy and the Publication Policy.

CLAUSE 11: Administrative/Overhead Costs

- 11.1 For each externally funded research project, administrative costs of 15% will be charged except for government and donor funded projects that do not provide for overhead costs or require a lower percentage.
- 11.2 To motivate researchers, the administrative cost will be apportioned as follows:
- | | |
|--|-----|
| University Research Reserve Fund | 30% |
| Service providers administrative costs | 35% |
| Principal Investigator and Team | 25% |
| Principal Investigator's Department | 10% |
- 11.3 University Research Reserve Funds shall be reinvested to support research activities and maintain the reserve fund at equal proportions. Use of monies from the Reserve Fund shall be restricted to approval by the Deputy Vice Chancellor (R&E).
- 11.4 The proportion of sharing between the PI and other team members shall either be agreed upon by the team or shall be 10% for PI and the rest shared equally among the other team members. Where the PI and other team members receive honoraria from the project, the 25% will revert to the University Research Reserve Fund. However, if only the PI receives an honorarium, the other team members will share part of the 25% as will be recommended by the PI and approved by the Deputy Vice-Chancellor (R and E).
- 11.5 This Policy also applies to Tegemeo Institute of Agricultural Policy and Development of Egerton University. Tegemeo Institute relies largely

on its capacity to raise funds for its operations. Tegemeo raises funds from two categories of projects: Core long-term projects and short to medium-term projects and consultancies. The Institute has overheads from core and non-core projects:

11.5.1 Administrative costs from the core projects shall be remitted in full to the University. Administrative costs from short to medium-term projects and consultancies shall be retained by the Institute and used to complement payment of salaries and other expenditure not catered for by the core projects.

11.5.2 To ensure sustainability and staff retention and subject to the availability of funds, the Institute staff shall receive a gratuity of 25% of basic salary per year from any savings made from short to medium-term projects and consultancies.

11.5.3 The balance from non-core projects after paying gratuity shall be allocated as follows:

Tegemeo Development Fund	30%
Tegemeo Research Reserve Fund	20%
Research team	35%
Service providers administrative costs	15%

CLAUSE 12: Research Property

12.1 Any equipment of a permanent nature shall be the property of Egerton University.

12.2 Any consumable research materials such as chemicals and stationery remaining at the end of the project shall be owned by the PI's Department.

12.3 All project research vehicles shall be registered under Egerton University or as specified in the contract for multi-institutional projects.

12.4 Assets acquired by the project shall be transferred to the University when the project duration elapses.

12.5 Any laboratories or Centres established through research funds shall be the property of the University and shall be used by all staff except where accessibility is restricted to authorized staff only.

- 12.6 Laboratories or Centres established through research funds shall be headed by the Principal Investigator or a person appointed by the University.

CLAUSE 13: Environmental Health and Safety

- 13.1 Researchers shall observe health and safety standards as stipulated by the rules and regulations of the relevant authorities.
- 13.2 Egerton staff and their collaborators shall ensure that their environment for conducting research is safe and that laid down procedures and protocols for handling, releasing or disposing potentially hazardous materials are being followed to protect the persons involved.
- 13.3 All visitors to research laboratories and designated research areas shall be advised to take precautionary measures to reduce any harm to self, research specimens and to persons involved in research.
- 13.4 The researcher must report any accidental release of chemical, biological or radioactive materials to the research committee who shall take precautionary measures to minimize any harm to the ecosystem.
- 13.5 The University Research Committee shall:
- 13.5.1 Oversee that disposal of research materials is done according to the University and national standards and guidelines for waste disposal.
 - 13.5.2 Ascertain that all research specimens are handled with respect and care.
- 13.6 Researchers shall observe National and International Health and Environmental Laws/Regulations in handling and disposal of research work materials.

CLAUSE 14: Principal Investigators' Responsibilities

- 14.1 In this Policy, a Principal Investigator (PI) is the person responsible for providing leadership in development and implementation of a research project. The PI is accountable to the University and donors through the DVC (R & E).

- 14.2 Principal investigators shall be responsible for:
 - 14.2.1 Ensuring the smooth implementation of the project as stipulated in the project work plan..
 - 14.2.2 Ensuring adherence by the project research team to all the provisions of the Research Policy.
 - 14.2.3 Ensuring adherence to M & E requirements and timely submission of project reports.
 - 14.2.4 Ensuring proper acquisition, use and retention of all project research data.
 - 14.2.5 Ensuring compliance with the Project's technical and financial agreement.
- 14.3 In the event that the PI retires, changes employer or otherwise, he/she shall handover all project responsibilities (including full accounting of all research funds disbursed) to DVC (R &E), who will inform the donor of the changes.
 - 14.3.1 The DVC (R & E), in consultation with the other members of the research team and the donor, shall identify and appoint a new PI.
 - 14.3.2 In cases where the donor requires that the projects moves with the PI the University will levy a chargeable operational fee of 15% of the total project funds at the time.

CLAUSE 15: Teaching, Research and Administration

- 15.1 The primary responsibility of an academic staff is to teach and conduct research and may be called upon to undertake administrative duties. These administrative duties may include membership to committees, coordination of examinations and representing the department in important forums within and outside the University.
- 15.2 For purposes of (15.1), time allocation for research shall be guided by the University Workload Policy.
- 15.3 Academic staff involved in more research/mentorship and administrative functions may request the Vice-Chancellor for special exemption from certain duties on negotiated terms.

CLAUSE 16: Appointment and Responsibilities of Project Staff

- 16.1 Persons employed by the project shall be engaged only for the lifetime of the project.
- 16.2 Such staff shall maintain confidentiality of all project data, findings and patentable innovations and shall not share the project information with non-project staff unless authorized in writing by the Principal Investigator in consultation with the DVC (R & E).

CLAUSE 17: Secondment of Academic Staff to the Research Division

- 17.1 The University, from time to time, shall second academic staff with demonstrated outstanding research capabilities to the Division of Research and Extension on a full-time basis to enhance its capacity to engage in competitive research, training and consultancies.
- 17.2 Appointment shall be for a term of three (3) years renewable once depending on performance.

CLAUSE 18: Application for University Research Funds

- 18.1 The Division of Research and Extension shall make a call for submission of research proposals by staff and students from time to time subject to availability of funds.
- 18.2 All proposals submitted to the Division of Research and Extension shall conform to the standard proposal preparation guidelines obtainable from the Office of the Director (R & E).
- 18.3 All applications for research support shall be addressed to the DVC (R & E) through the applicant's immediate supervisor.
- 18.4 All submitted proposals shall be checked by the University Research Committee for conformity with application guidelines.
- 18.5 Each proposal that meets the requirements specified in the application guidelines shall be subjected to peer review by three (3) specialists in the subject area.

- 18.6 Each proposal shall be ranked by the reviewer based on the tool for evaluating the quality of proposals.
- 18.7 Each peer reviewer shall submit detailed critique of the proposal to the Director (Research and Extension).
- 18.8 The Director (R & E) shall prepare a summary of comments from the three (3) reviewers and send a copy to the University Research Committee for discussion, after which he/she shall send the review comments to the PI for feedback.

CLAUSE 19: Management and Requisition of Research Funds

- 19.1 Research funds shall be managed by the DVC (R & E) under the University financial and procurement procedures except where the donor and the University have agreed otherwise.
- 19.2 Research funds shall be disbursed only for the approved project activities.
- 19.3 Principal Investigators and their collaborators shall ensure that donor conditions are fully adhered to in the implementation of the research project.
- 19.4 Disbursement of funds to a researcher shall be subject to full surrender of previous imprest related to the project and/or submission of the relevant progress report.
- 19.5 A researcher can take only one imprest at a time per project unless otherwise authorized by the DVC (R & E).
- 19.7 The PI shall formally request for funds for implementing research activities from the DVC (R & E) through the Director (R & E) copied to the Chairman of Department.
- 19.8 The request letter shall comprise of a completed imprest application form, a detailed budget in line with the approved project budget and a work plan.
- 19.10 Failure to account for disbursed research funds and/or provide a satisfactory progress report shall result in termination of the award and appropriate surcharge instituted.
- 19.11 All Principal Investigators should have their requisition plans approved by the Procurement Department.
- 19.12 Balances from expired projects shall be transferred to the university research fund upon consultation with donors

CLAUSE 20: Award of University Research Funds

- 20.1 Each successful research proposal submitted to the University for funding shall be awarded grants subject to availability of funds.
- 20.2 All University research funds shall be deposited in a specific University bank account, which shall be managed by the DVC(R & E).
- 20.3 Researchers may only use the allocated funds for the approved work plan and budget.
- 20.4 A researcher shall be a PI of only one University-funded project at any given time.
- 20.5 For every approved proposal, the PI shall sign grant conditions form undertaking to ensure that:
 - 20.5.1 The project is not funded by any other organization without the knowledge of the DVC (R & E).
 - 20.5.2 The research results shall be co-owned by the research team and the University.
 - 20.5.3 In case of an invention, the research team and the University shall be co- owners of the patent.
 - 20.5.4 Research results shall be disseminated to the end-users using appropriate dissemination methods.
 - 20.5.5 The research team shall publish at least one (1) paper in a peer- reviewed journal.

CLAUSE 21: Application for Donor Research Funds

- 21.1 All applications for donor research support that require University endorsement shall be sent through the DVC (R & E). Researchers applying for donor research support that does not require University endorsement shall submit the proposals to the donor and notify the DVC (R & E).
- 21.2 There shall be a research Contract Agreement between the University and the donor for all successful donor-funded projects and signed by the VC or his/her designate
- 21.3 All donor research funds shall be deposited in a specific University bank account, which shall be managed by the DVC (R & E).

- 21.4 Egerton University shall not be held responsible for donor funded collaborative research projects whose funds are not managed by the University.

CLAUSE 22: Capacity Building

- 22.1 The Division of Research and Extension shall endeavour to build research capacity through holding workshops and seminars to train researchers on preparation of research proposals, project management, publishing, presentation of research findings, and maintenance of records.
- 22.2 All donor funded research projects shall as much as possible incorporate training component.

CLAUSE 23: Monitoring and Evaluation

- 23.1 All approved research projects shall have a monitoring and evaluation (M & E) component.
- 23.2 The University Research Committee shall ensure that M & E is carried out for all approved projects including visits to project sites.
- 23.3 The PI shall submit quarterly technical and financial progress reports to the DVC (R & E) in a prescribed format.
- 23.4 For all externally funded research, the PI shall submit the progress and final reports as required by the donor through the DVC (R & E).
- 23.5 Researchers shall include M & E budget in their proposals to meet the M & E costs.

CLAUSE 24: Research Knowledge and Data Management

- 24.1 There shall be a Knowledge and Data Management Unit in the Division of Research and Extension.
- 24.2 The Division of Research and Extension shall be the custodian of all data obtained from research projects.

- 24.3 The data shall be stored by the Knowledge and Data Management Unit of the Division of R & E in its databanks.
- 24.4 All research conducted by Egerton University Staff shall be registered with the Division of Research and Extension.
- 24.5 At the conclusion of each project, the PI shall submit, in soft and hard copy, all project data to the DVC (R & E).
- 24.6 All project data shall be confidential until officially made public.
- 24.7 The Division of Research and Extension shall enhance research information and experience sharing with relevant stakeholders.
- 24.8 All external persons or organizations requiring research data and information shall obtain authority from the DVC (R & E).
- 24.9 Research data sharing shall be guided by CLAUSE 12.2 of the Egerton University Data Management Policy
- 24.10 Disclosure of information and data on innovations and creative works shall be guided by CLAUSE 10 of the Egerton University Intellectual Property Rights Policy.

CLAUSE 25: Dissemination of Research Findings

- 25.1 At the end of a research project, the PI shall submit two copies of the final report and any other publication(s) to the DVC (R & E).
- 25.2 All research papers published as a result of University or externally funded projects managed by the University shall acknowledge Egerton University and the funding agency.
- 25.3 All publications shall be uploaded in the Egerton University Institutional repository in line with the Institutional Repository and Open Access Policy.
- 25.4 The PIs and their collaborators shall disseminate research findings through policy briefs, stakeholder workshops, seminars and conferences. The Principal Investigators are encouraged to include a dissemination/publication cost in project budget.
- 25.5 Where possible, the Division shall refund an agreed amount of money to meet the publication charges.

CLAUSE 26: Research Misconduct

- 26.1 A researcher shall not engage in acts that include, but not limited to, dishonesty, fraud, embezzlement and misappropriation of

research funds, falsification, plagiarism, and non-compliance with the conditions as stated in this Policy.

- 26.2 Where a researcher violates research ethical standards in a way that seriously harms the University reputation or compromises the researcher's ability to work effectively, the University Disciplinary Procedures and/or the relevant Laws of Kenya shall apply.
- 26.3 A researcher who violates acceptable research practices shall be blacklisted and stopped from benefitting from University research funds for a period of five (5) years.
- 26.4 If the funds in 26.3 are from external sources, the DVC (R & E) in consultation with the donor will appoint an alternative PI. In cases where the donor insists that the funds are paid back, the university will levy a chargeable operational fee of 15% of the total project funds at the time.
- 26.5 A researcher who contravenes the provisions of CLAUSE 25.1 and 25.2 shall be held personally liable for losses resulting from the contravention.
- 26.6 All allegations of misconduct shall be investigated by the Research Committee and reported to the DVC (R & E) for action.

CLAUSE 27: Research Grievances

- 27.1 All grievances related to the conduct of research shall be reported to the DVC (R & E) within 14 days of occurrence/discovery who will forward them to the Research Committee for deliberations and recommendations for appropriate action.
- 27.2 Any grievances arising from collaborative research involving a non-Egerton University staff shall be handled as stipulated in the Research Contract Agreement.

CLAUSE 28: Termination of a Research Project

A research project shall be terminated through consultation between the University, the donor and PI under the following circumstances:

- 28.1 If the project is incapable of meeting its objectives and/or is being implemented unsatisfactorily.

- 28.2 If the PI violates the principles and requirements in this Policy and the Research Committee is satisfied that he/she is guilty.
- 28.3 If there is a serious disagreement between the PI and the research team/collaborators such that the research cannot continue.
- 28.4 If the funds allocated are insufficient to complete the project, funds are not released on time, budgetary changes or if the prevailing economic situation renders the budget unimplementable.
- 28.5 Act of God (Force majeure); In case of retirement or death of the PI the University will appoint a new PI in consultation with the donor and project collaborators where applicable.

CLAUSE 29: Citation

This Policy shall be cited as the Egerton University Research Policy No. **EU/RE/POL/01A of 2021**.

CLAUSE 30: Policy Review

This Policy shall be reviewed after every five (5) years or earlier as may be deemed necessary.

CLAUSE 31: Policy Implementation and Approval

- 31.1 The Deputy Vice-Chancellor (Research and Extension) shall be responsible for the implementation of this policy.

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